

FOUND

Atty Docket No. 18062R-002132

PTO FAX NO.: 703-308-7722

2814

ATTENTION: Dorothy Dixon  
Office of Initial Patent Examination

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**OFFICIAL COMMUNICATION****FOR THE PERSONAL ATTENTION OF****Dorothy Dixon**  
**Office of Initial Patent Examination****CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following **Preliminary Amendment**, in re Application of **John Stephen Smith**, Serial No. **09/877,640**, filed **June 8, 200**, for **METHOD AND APPARATUS FOR FABRICATING SELF-ASSEMBLING MICROSTRUCTURES** is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Number of pages being transmitted, including this page: 15

Dated: August 27, 2001

  
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**PATENT**  
18062R-002132US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

John Stephen Smith

Application No.: 09/877,640

Filed: June 8, 2001

For: METHOD AND APPARATUS FOR  
FABRICATING SELF-ASSEMBLING  
MICROSTRUCTURES

Examiner: Unassigned

Art Unit: Unassigned

**SUBSTITUTE PRELIMINARY  
AMENDMENT**

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination of the above-referenced application, please do not enter the Preliminary Amendment mailed on June 8, 2001 and enter the present Substitute Preliminary Amendment.

**IN THE SPECIFICATION:**

Please replace the paragraph beginning on page 1, line 4 with the following rewritten paragraph:

--This application is a continuation of U.S. Application Serial No. 09/097,599, filed June 15, 1998, which is a continuation of U.S. Application Serial No. 08/480,500, filed June 7, 1995, now U.S. Patent No. 5,904,545, which is a continuation-in-part of U.S. Application Serial No. 08/169,298, filed December 7, 1993, now U.S. Patent No. 5,545,291, the disclosure of which is hereby incorporated in its entirety for all purposes.

This application is related to U.S. Application Serial No. 08/485,478, filed June 7, 1995, now U.S. Patent No. 5,824,186, which is a continuation-in-part of U.S.